

# Practitioner's Docket No. 2003CH009

## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Bernard DANNER

Application No.: 10/575,154

Group No.: 1621

Filed:

April 6, 2006

Examiner: Cutliff, Y.K.R.

For:

Amino-Functional Silicone Waxes

Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

CERTIFICATION UNDER 37 C.F.R. Sections 1.8(a) and 1.10\*

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"Since the filing of correspondence under [section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

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## Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1.		Enclosures accompanying this Information Disclosure Statement are:  1a. Sorm PTO/SB/08A.  1b. Sorm PTO/SB/08A.  1c. Sopies of Information Disclosure Statement citations.  1c. PCT Search Report and Written Opinion of the International Searching Authority for PCT/IB2004/003227 dated 02/01/2005 and 07/28/2006 respectively.  1d. Solvential Citation of Co-pending U.S. Applications.
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2.	П	This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3.		This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4.	$\boxtimes$	This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5.		This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6.		This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e)

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## Statement is required.

- 7. The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached Form PTO/SB/08A are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached Form PTO/SB/08A.
- 8. In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s):
  - a. US Serial No. 10/575,153, filed 11/17/2003, by Danner,
  - b. US Serial No. 11/487,271, filed 07/14/2006, by Klug, et al.,

C.

Respectfully submitted,

Tod A. Waldrop, Ph.D. Registration No. 56,260

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